



## CANACCORD GENUITY GROUP INC, PRINCIPLES OF CORPORATE SOCIAL RESPONSIBILITY AND SUSTAINABILITY

As we endeavour to sustainably increase the value of our business for our employees and shareholders, Canaccord Genuity Group Inc. and all its operating subsidiaries have adopted the following Principles of Corporate Social Responsibility and Sustainability.

We incorporate these Principles into every aspect of our business activities.

### **Operate with Integrity**

We are committed to conducting our business in accordance with all applicable laws, rules and regulations and the highest ethical standards.

We maintain safe working environments and maintain policies to ensure the protection of human rights in our business and supply chains.

Our firmwide risk management framework is critical to maintaining our company's ongoing financial stability and business continuity.

### **Respect People and Communities**

We think locally and globally, understanding the impact that our actions and behaviours may have on the success and wellbeing of our colleagues, clients, and partners in all the regions where we operate.

We take care to respect the culture and customs in the regions where we operate and where we travel. We are compliant with all applicable laws governing equal employment and anti-discrimination. Our firmwide Diversity Policy is centred on valuing the rich diversity among our employees and all those with whom we do business.

We empower our businesses and individuals to direct their charitable and volunteer efforts towards the causes and initiatives that will have a meaningful impact in their respective communities.

### **Respect our Planet**

In our efforts to create enduring value, we take care to reduce the impact of our day-to-day business activities on the environment.

Canaccord Genuity has also had a long-standing commitment to supporting companies and investors that are committed to positively impacting the planet. We are committed to supporting the continued growth of capital markets and wealth management segments which focus on helping companies and investors advance their sustainability objectives and contribute to a better world.